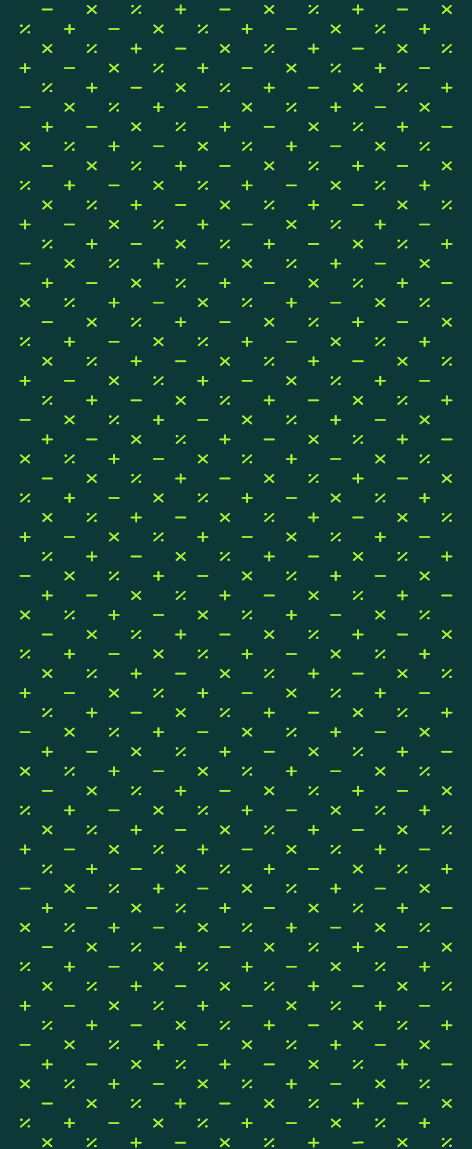




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Fiduciary governance – auditor lens

Bertha Minnihan



What do we mean.....

When we say governance?

- Proper plan design, implementation
- Internal controls

When we say fiduciary?

- Who carries out governance
- Execution

When we say “you”?



Why is solid governance imperative?

Serve employees

Organizational sustainability

Effective benefit plan structures and outcomes

Garbage in, garbage out



Roadmaps

Named fiduciary – who has the authority?

Plan documents – starting point

- Plan document
- Contracts

Education is key – utilize resources

Document! Document! Document!



Personally Invested

With an abiding sense of responsibility for our clients, our colleagues, and the future, we're committed to delivering the highest level of professional integrity.

A photograph of a road at sunset with the text "WE'RE ALL IN" overlaid in large green letters. The road is paved and has a white line on the right side. The sun is low on the horizon, creating a lens flare effect. The sky is a mix of blue and orange.

WE'RE
ALL
IN

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